

## ALBO Shares: Update November 2016

Number of shares of Common Stock beneficially owned:

### SC 13D 03/11

Phase4 Partne	<b>1,165,447 shares</b>
Phase4 III	<b>1,165,447 shares</b>
Phase4 GPLP	<b>1,165,447 shares</b>
Phase4 GP	<b>1,165,447 shares</b>
Dr. Alastair M	<b>1,165,447 shares</b>
Denise Scots-I	<b>1,165,447 shares</b>
Charles Sermc	<b>1,165,447 shares</b>

Percent of class:

Phase4 Partne	<b>18.5%</b>
Phase4 Ventu	<b>18.5%</b>
Phase4 Ventu	<b>18.5%</b>
Phase4 Ventu	<b>18.5%</b>
Dr. Alastair M	<b>18.5%</b>
Dr. Denise Scc	<b>18.5%</b>
Charles Sermon	

### SC 13D 03/11

AstraZeneca	1,008,141 shares
AstraZeneca A	1,008,141 shares

Percent of class:

AstraZeneca	<b>16.0%</b>
AstraZeneca A	16.0%

(The percentage ownership was calculated based on **6,294,725** shares of Common Stock outstanding  
Number of shares as to which such person has:

(i) Sole power to vote or to direct the vote:

AstraZeneca	<b>1,008,141 shares</b>
AstraZeneca A	1,008,141 shares

(ii) Shared power to vote or to direct the vote:

AstraZeneca	0 shares
AstraZeneca A	0 shares

(iii) Sole power to dispose or to direct the disposition of:

AstraZeneca **1,008,141 shares**  
AstraZeneca A **1,008,141 shares**

(iv) Shared power to dispose or to direct the disposition of:

AstraZeneca 0 shares  
AstraZeneca AB

SD 13D 14/11

- 3** SEC USE ONLY  
**4** SOURCE OF FUNDS (see instructions)  
OO (See Item 3)  
**5** CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM

NUMBER OF SHARES SOLE VOTING POWER  
-0-  
BENEFICIALLY OWNED BY SHARED VOTING POWER  
**777,096 (See Items 3, 4 and 5)**  
EACH REPORTING PERSON SOLE DISPOSITIVE POWER  
-0-  
WITH SHARED DISPOSITIVE POWER  
**777,096 (See Items 3, 4 and 5)**

- 11** AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON  
**777,096 (See Items 3, 4 and 5)**  
**12** CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES  
**13** PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)  
**12.3% (See Item 5)\***  
**14** TYPE OF REPORTING PERSON  
CO

Rolf Starck ,Ottostr. 4, 80333 München  
TVM Capital

NUMBER OF SHARES BENEFICIAL SOLE VOTING POWER  
0 shares  
SHARED VOTING POWER  
**621,761 shares**  
SOLE DISPOSITIVE POWER  
0 shares  
SHARED DISPOSITIVE POWER  
**621,761 shares**

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON  
**621,761 shares**

12 CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EX

13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)  
**9.9%**

ling as of November 3, 2016 as reported in the Issuer's Current Report on Form 8-K filed with the Sec

2(d) or 2(e)



urities and Exchange Commission on November 4, 2016).